2615
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 +
European Search Report + 3 references

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|----------------------|-----------------------|
| Application Number | 09/811,682 |
| Filing Date | March 19, 2001 |
| First Named Inventor | Yuji FUJIWARA, et al. |
| Art Unit | 2615 |
| Examiner Name | Thai Q. Tran |
| Attorney Docket No. | MTS-3237US |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): ➢ PTO Form 1449 ➢ European Search Report ➢ 3 references, ➢ return postcard |
| Remarks: | | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-------------------------|-------------------------|-----------------------------------|--------|
| Firm or Individual Name | Daniel N. Calder | Registration No. (Attorney/Agent) | 27,424 |
| Signature | <i>Daniel N. Calder</i> | | |
| Date | September 10, 2004 | | |

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| Typed or printed name | Fran Petrillo | | |
| Signature | <i>Fran Petrillo</i> | Date | September 10, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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MTS-3237US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No: 09/811,682
Applicants: Yuji FUJIWARA, et al.
Filed: March 19, 2001
Title: SIGNAL RECORDING APPARATUS AND METHOD, SIGNAL REPRODUCING APPARATUS AND METHOD, MEDIUM, AND INFORMATION ASSEMBLY
TC/A.U.: 2615
Examiner: Thai Q. Tran
Conf. No.: 9387
Docket No.: MTS-3237US

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Copies of the following references were cited in an Information Disclosure Statement filed April 6, 2004:

1. EP 0 786 905 A
2. "ITU-T Recommendation H.262, International Standard ISO/IEC 13818.2 MPEG-2 Video. Transmission Of Non-Telephone Signals, Information Technology - Generic Coding Of Moving Pictures And Associated Audio Information: Video", ITU-T Telecommunication Standardization Sector of ITU, Geneva, CH, July 1, 1995, pp. 1-211.
3. "Proposed SMPTE Standard for Television Digital Recording - 12.65-MM Type D-9 Component Format-Video Compression-525/60 and 625/50", SMPTE Journal, SMPTE Inc., Vol. 108, No. 6, June, 1999, pp. 406-445.
4. Uchida, et al., "DVCPRO: A Comprehensive Format Overview", SMPTE Journal, SMPTE Inc., Vol. 105, No. 7, July 1, 1996, pp. 406-418.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Daniel N. Calder

Daniel N. Calder, Reg. 27,424
Attorney for Applicants

DNC/fp

Enclosures: PTO Form 1449 (2 sheets)
European Search Report
(#3) References
Transmittal Form

Dated: September 10, 2004

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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Fran Petrillo



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

| | |
|----------------------|-----------------------------|
| Application Number | 09/811,682 |
| Filing Date | March 19, 2001 |
| First Named Inventor | Yuji Fujiwara et al. |
| Art Unit | 2615 |
| Examiner Name | Thal Q. Tran |
| Attorney Docket No. | MTS-3237US |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|----------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | | US 5,751,359 A | 05/12/98 | SUZUKI et al. | |
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FOREIGN PATENT DOCUMENTS

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| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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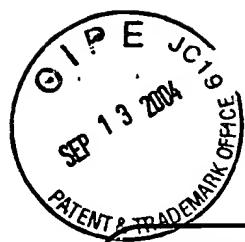
*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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SHEET 2 of 2

Complete if Known

Application Number 09/811,682

Filing Date **March 19, 2001**

First Named Inventor

Art Unit 2615

NON-PATENT LITERATURE DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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1Applicant's unique citation designation number (optional).

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